

Unit 2 - Review Exercise

In the right hand column, write the letter of the response that most accurately completes the statement or answers the question.

Statement / Question	Responses	Your Answer
1. Which among these is NOT a source of input for your audit checklists?	A. Risks and opportunities B. The person on the next bar stool. C. Prior audit results. D. The process documents.	
2. In preparing the Process Checklist, which of these are you NOT planning?	A. Who to talk to B. What questions to ask C. Noncompliances to report D. What types of documents and activities to look at	
3. Wherever you see a document or record mentioned in a procedure, you must:	A. Look the other way. B. Checklist it. C. Ignore it (unless you are auditing document control). D. Examine the records for misspellings and typos.	
4. Open ended questions often begin with	A. Here B. Huh C. How D. Hmm	
5. With a process question, you are trying to determine	A. Whether or not the process makes sense. B. If the auditee is just faking it. C. If the people's understanding of the process matches what the procedure says. D. How well coached the auditee has been.	